IN THE UNITED STATES BATEN AND TRADEMARK OFFICE

Group No.: 1631

In re application of: Arnold J. Mandell

Serial No.: 10/777,829 Filed: February 11, 2004

Examiner: Unassigned Confirmation No.: 5723

For: Algorithmic Design of Peptides for Binding and/or

Modulation of the Functions of Receptors and/or Other Proteins

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

COMPLETION OF FILING REQUIREMENTS-NONPROVISIONAL APPLICATION

I. This replies to the Notice TO File Missing Parts of Application (PTO-1533) mailed May 19, 2004. A copy of the Notice to File Missing Parts of Application –Filing Date Granted (Form PTO-1533) is enclosed.

DECLARATION

II. No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

POWER OF ATTORNEY

III. Enclosed are three original Powers of Attorney by Assignee to Exclusion of Inventor.

SEQUENCE LISTING

IV. Enclosed is a Statement Under 37 C.F.R. 1.321(F), Sequence Listing in printed format and on computer readable (ASCII) form on 3.5 inch diskette.

PRELIMINARY AMENDMENT

V. Enclosed is a preliminary amendment.

SMALL ENTITY STATUS

VI. Applicant claims small entity status. See 37 CFR 1.27.

COMPLETION FEES

1. Surcharge Fee: Late payment of filing fee (37 C.F.R. 1.116(e))

\$65.00

Total Completion Fees \$65.00

REQUEST FOR EXTENSION OF TIME

VII. This is a request under the provisions under 37 CFR 1.16(a) to extend the period for filing a reply in the above-referenced patent application 2- month(s). The Extension fee is: \$210.00

09/17/2004 MMEKONEN 00000052 232415 10777829

02 FC:2252

210.00 DA

TOTAL FEE DUE

VIII. The total fee due is: Completion fees:

TOTAL FEE DUE \$275.00

PAYMENT OF FEES

IX. Charge Deposit Account No. 23-2415 (31010-701.301) in the amount of \$275.00.

The Commissioner is authorized to charge any additional fees which may be required, including petition fees and extension of time fees, to Deposit Account No. 23-2415 (31010-701.301)

Date: September 14, 2004

Al Halluin, Reg. No. 25,227

Wilson Sonsini Goodrich & Rosati 650 Page Mill Road Palo Alto, CA 94304 (650) 565-3585 Customer No. 021971

CERTIFICATE OF MAIL UNDER 37 C.F.R. §1.10

"Express Mail" Mailing Label No. 1 1 hereby certify that this paper or fee is being deposited with the United States Postal Services "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Sunature

Date

2



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Viginia 22313-1450 www.uspto.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/777,829

02/11/2004

Arnold J. Mandell

01561.0002.CNUS03

CONFIRMATION NO. 5723

Howrey Simon Arnold & White, LLP 301 Ravenswood Ave. Menlo Park, CA 94025

OC000000012702254

FORMALITIES LETTER

Date Mailed: 05/19/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/17/2004 MMEKONEN 00000052 232415 10777829

FILED UNDER 37 CFR 1.53(b)

01 FC:2051

65.00 DA

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

■ For Rules Interpretation, call (703) 308-4216

OTION: US MISSING TUE DATES DAR CM#31010-701.301

- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$65 for a Small Entity

• \$65 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE